Under the Paperwork Sent

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ct of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Col	mplete if Known
Application Number	10/713,898
Filing Date	October 18, 2002
First Named Inventor	David Charles Schwartz, et al.
Art Unit	
Examiner Name	
Attorney Docket Number	960296.99047

			U. S. PATENT	DOCUMENTS	
Examiner nitials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear
SKM		<sup>US-</sup> 6,123,819	09-26-2000	Peeters	
1		US- 2002/0037499 A1	03-28-2002	Quake et al.	
		<sup>US-</sup> 2002/0137218 A1	09-26-2002	Mian et al.	
		<sup>US-</sup> 2002/0081744 A1	06-27-2002	Chan et al.	
		<sup>US-</sup> 2003/0165964	08-04-2003	Hannah	
		<sup>US-</sup> 6,438,279 B1	08-20-2002	Craighead et al.	
Y		<sup>US-</sup> 6,610,256	08-26-2003	Schwartz	
		US-			
		US			
		U\$-			
		US-			
	J.,	US-			

Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>8</sup> (# known)	MM-DD-YYYY	•		1
SKM		WO 94/18218	08-18-1994	Seq. Ltd.		Г
۸۷.		WO 00/09757	02-24-2000	U.S. Genomics		Г
V		PCT Int'l Search Report				
						├
181	-					├

Examiner	104 1 1 - 36 1	110 /10 /000 ()	Oate	10/10/000
Signature	/Stephanie Mummert/	(10/12/2006)	Considered	10/12/2006
J. J			00130000	' '

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional), \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is standard.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitu	ite for form 1449/PT				Complete if Known
Gubson				Application Number	10/713,898
INF	ORMATIO	N DIS	CLOSURE	Filing Date	October 18, 2002
STA	TEMENT	BY A	PPLICANT	First Named Inventor	David Charles Schwartz, et al.
				Art Unit	
	(Use as many s	iheets as r	19Cessary)	Examiner Name	
Sheet	2 .	of	2	Attorney Docket Number	960296.99047

		OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
SKM		Chih-Ming Ho, "Fluidics - The Link Between Micro and Nano Sciences and Technologies", Proceedings of the IEEE 14th Annual International Conference On Microelectro Mechancial Systems. MEMS 2001. Interlaken, Switzerland, Jan 21-25,	
SKM		2001, IEEE International Micro Electro Mechanical Systems Conference, New York, NY: IEEE, US, vol. CONF. 14, (01-21-2001), pgs 375-384, XP010534628 ISBN: 0-7803-5998-4, pg 378-379.	
SKM		Unger M A Et Al: "Monolithic Microfabricated Valves and Pumps by Multilayer Soft Lithography", Science, American Association For The Advancement Of Science, US, vol. 288, 04/07/2000, pgs. 113-116, XP002192277 ISSN: 0036-8075 Figure 1.	
SKM		Stix, Gary; "Thinking Big-A Harvard Medical School dropout aims to usher in the personal-genomics ear," Innovations, Scientific American, June 2002, pgs. 30-31.	
SKM		Stikeman, Alexandra, "Nanobiotech Makes The Diagnosis," Technology Review, May 2002, pgs. 61-66.	
•			
		·	

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/713,898

Filing Date October 18, 2002

First Named Inventor David Charles Schwartz, et al.

Art Unit Examiner Name

(Use as many sheets as necessary)
Sheet 1 of 9

JALENI S

Attorney Docket Number 960296.99047

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Oate MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SKM		<sup>US-</sup> 4,473,452	Sep., 1984	Cantor et al.	
		<sup>US-</sup> 4,695,548	Sep., 1987	Cantor et al.	
		<sup>US-</sup> 4,737,251	Apr., 1988	Carle et al.	
		US- 4,767,700	Aug., 1988	Wallace	
	,	<sup>US-</sup> 4,870,004	Sep., 1989	Conroy et al.	
		) <sup>US-</sup> 5,059,294	Oct., 1991	Lizardi	·
		<sup>US-</sup> 5,079,169	Jan., 1992	Chu et al.	
$\mathbf{W}_{-}$		<sup>US-</sup> 5,314,829	May, 1994	Coles	436/165
		<sup>US-</sup> 5,380,833	Jan., 1995	Urdea	
		US-			·
		US-			
		US-			
		US-			
		US		·	
		US-			
		US-			

Examiner Initiats*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Te
SKM		FR 2605472	Apr., 1988	Alain Bouillet		
N/		WO 84/02001	May, 1984	Trustees of Columbia Univers		Π
V		WO 87/01955	Sep., 1987	Washington University		

4				<del></del>	<del></del>
1	Examiner	1	100 100 10000	Date	
ı	Signature	/Stephanie Mummert/	(10/12/2006)	Considered	10/12/2006
ı	Signature	/ Dochiging Manager of	(10/11/1000)	Considered	10/12/2000

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. This is the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Trainstation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	er the Paperwork Red	uction A	ot of 1995, no persons ar	e required to respond to a collection	of Information unless it contains a valid OMB control number.  Complete if Known
Gustine	TOTION 1443/FTO			Application Number	10/713,898
			CLOSURE	Filing Date	October 18, 2002
STAT	<b>TEMENT B</b>	BY A	PPLICANT	First Named Inventor	David Charles Schwartz, et al.
	(Use as many she	ate as a	ocaternal	Art Unit	·
	(Cae da Many and	0.00 0.0 11		Examiner Name	
Sheet	2	of	9	Attorney Docket Number	960296.99047

			OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS			
		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				
			Chattoraj et al., "DNA Condensation with Polyamines", J. Mol. Biol. 121, (1978), pp.327-337.			
			Ohi et al., "Mapping of Mitochondrial 4S RNA Genes by Electron Microscopy", J. Mol. Biol. 212, (1978), pp 299-310.			
			Manuelidis et al, Biol. Abstr. 76(4); Ref. No. 27153; p. 2940.			
			Bensimon, A. et al., 1994, "Alignment and Sensitive Detection of DNA by a Moving Interface" Science 265: 2096.			
			Perkins, T.T. et al., 1994, "Direct Observation of Tube-like Motion of a Single Polymer Chain", 264: 819-822.			
			Cohen et al., 1993, "A first-generation physical map of the human genome", Nature 366: 698-701.			
			Guo et al., 1993, "Sizing of Large DNA Molecules by Hook Formation in a Loose Matrix", J. Biomol. Structure and Dynamics 11: 1-10.			
	•		Hansma, H.G. et al., 1993, "Atomic force microscopy of DNA in aqueous solutions", Nucleic Acids Research 21: 505-512.			
			Karrasch, S. et al., 1993, "Covalent Binding of Biological Samples to Solid Supports for Scanning Probe Microscopy in Buffer Solution" Biophysical J. 65: 2437-2446.			
1	/		Koob et al., 1992, "RecA-AC: single-site cleavage of plasmids and chromosomes at any predetermined restriction site" Nucleic Acids Res. 20:5831.			

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
Signature	,,,,	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box ->	+	l
--	---	---

Substitu	Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known			
				Application Number	10/713,898
INF				Filing Date	October 16, 2002
STA	STATEMENT BY APPLICANT		First Named Inventor	David Charles Schwartz, et al.	
• • • • • • • • • • • • • • • • • • • •			, L	Group Art Unit	
	(use as many s	heet	s as necessary)	Examiner Name	
Sheet	3	of	9	Attorney Docket Number	960296.99047

		OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
SKM		Zenhausern et al., 1992, "Imaging of DNA by Scanning Force Microscopy", J. Struct. Biol. 108: 69-73.	
		Lyubchenko et al., 1992, "Atomic Force Microscopy Imaging of Dougle Stranded DNA and RNA", J. Biomol. Struct. and Dyn. 10: 589-606.	
		Bustamante et al., 1992, "Circular DNA Molecules Imaged in Air by Scanning Force Microscopy", Biochemistry 31: 22-26.	
	•	van denEngh, et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410.	
		Allison et al., 1992, "Immobilization of DNA for scanning probe microscopy", Proc. Natl. Acad. Sci. USA 89: 10129-10133.	
		Heng et al., 1992, "High-resolution mapping of mammalian genes by in situ hybridization to free chromatin", Proc. Natl. Acad. Sci. USA 89: 9509.	
		Maier et al., 1992, "Complete coverage of the Schizosaccharomyces pombe genome in yeast artificial chromosomes", Nat. Genet. 1:273.	
		Guo et al., 1992, "Sizing single DNA molecules", Nature 359:783-784.	
		Chumakov et al., 1992, "Continuum of overlapping clones spanning the entire human chromosome 21q", Nature 359:380.	
-	00	Link, 1991, "Physical Map of the Saccharomyces cerevisiae Genome at 110-Kilobase Resolution", Genetics 127: 681.	
$\forall$		Ferrin et al., 1991, "Selective Cleavage of Human DNA: RecA-Assisted Restriction Endonuclease (RARE) Cleavage", Science 254: 1494.	

Examiner Signature /Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
---	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
D a collection of information unless it contains a valid OMB control cumber

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	ute for form 1449B/PT(	5		Co	emplete if Known
	INFORMATION DISCLOSURE		Application Number	10/713,898	
INF			ISCLOSURE	Filing Date	October 16, 2002
STATEMENT BY APPLICANT		APPLICANT	First Named Inventor	David Charles Schwartz, et al.	
017			741 LIOPAITI	Group Art Unit	
	(use as many s	heet	s as necessary)	Examiner Name	
Sheet	4	of	9	Attorney Docket Number	960296.99047

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Cite Initials No.1 Campbell et al.,		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	L <sub>5</sub>
		Campbell et al., 1991, "Generation of a nested series of interstitial deletions in yeast artificial chromosomes carrying human DNA", Proc. Natl. Acad. Sci. USA 88:5744.	
		Cavalli-Sforza, 1990, "Opinion: How Can One Study Individual Variation for 3 Billion Nucleotides of the Human Genome", Am. J. Hum. Genet. 46: 649.	
		Koob et al., 1990, "Cleaving Yeast and Escherichia coli Genomes at a single site", Science 250: 271-273.	
		Lichter et al., 1990, "High-Resolution Mapping of Human Chromosome 11 by in Situ Hybridization with Cosmid Clones" Science 247: 64.	
		Stallings et al., 1990, "Physical mapping of human chromosomes by repetitive sequence fingerprinting", Proc. Natl. Acad. Sci. USA 87: 6218-6222.	
		Glazer et al., 1990, "A stable double-stranded DNA-ethidium homodimer complex: Application to picogram fluorescence detection of DNA in agarose gels", Proc. Natl. Acad. Sci. USA 87: 3851.	
		Schwartz et al., 1989, "ED: pulsed electrophoresis instrument", Nature 342: 575-576.	
		Lawrence et al., 1988, "Sensitive, High-Resolution Chromatin and Chromosome Mapping In Situ: Presence and Orientation of Two Closely Integrated Copies of EBV in a Lymphoma Line", Cell 52:51	
		Barlow et al., 1987, "Genetics by gel electrophoresis: the impact of pulsed field gel electrophoresis on mammalian genetics:, Trends in Genetics 3: 167-177.	
		Burke et al., 1987, "Cloning of Large Segments of Exogenous DNA into Yeast by Means of Artificial Chromosome Vectors", Science 236: 806.	
$\downarrow$		Church and Gilbert, 1984, "Genomic sequencing", Proc. Natl. Acad. Sci. USA 81: 1991.	

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
Olginatore	, , , , , , , , , , , , , , , , , , , ,	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box 🛶	$\Box$	ı
	-	i

Substite	Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known			
				Application Number	10/713,898
INF	ORMATION	1 D	ISCLOSURE	Filing Date	October 16, 2002
STATEMENT BY APPLICANT		First Named Inventor	David Charles Schwartz, et al.		
• • • • • • • • • • • • • • • • • • • •		- •		Group Art Unit	
	(use as many s	heet	s as necessary)	Examiner Name	
Sheet	5	of	9	Attorney Docket Number	960296.99047

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		₹2
SKM		Luckham and Klein, 1984, "Forces between Mica Surfaces Bearing adsorbed Polyelectrolyte, Poly-L-lysine, in Aqueous Media", Chem. Soc. Faraday Trans. I, 80: 865-878.	
		Schwartz and Cantor, 1984, "Separation of Yeast Chromosome-Sized DNAs by Pulsed Field Gradient Gel Electrophoresis", Cell 37: 67.	
		Миггау and Szostak, 1983, "Construction of Artificial Chromosome in Yeast", Nature 305: 189-193.	
		Manuelidis et al., 1982, "High-resolution Mapping of Satellite DNA using Biotin-labeled DNA Probes", J. Cell Biol. 95: 619.	
		Matsumoto, et al., 1981, "Light Microscopic Structure of DNA in Solution Studied by the 4',6-Diamidino-2-phenylindole Staining Method", J. Mol. Biol. 132: 501-516.	
		Gosule and Schellman, 1978, "DNA Condensation with Polyamines", J. Mol. Biol. 121: 311-326	
		Porath and Axen, 1976, "Immobilization of Enzymes to Agar, Agarose, and Sephadex Support", Methods Enzymol. 44:19.	
		Smith and Birnstiel, 1976, "A simple method for DNA restriction site mapping", Nucleic Acids Res. 3: 2387-2399.	
		Massa et al., 1973, "Flow Properties of High-Molecular-Weight DNA Solutions: Viscosity, Recoil, and:Longest Retardation Time", Biopolymers 12:.	
		Schwartz et al., 1989, "Conformational Dynamics of Individual DNA Molecules During Gel Electrophoresis", Nature 338:520.	,
$\downarrow$		Rampino and Chrambach, 1991, "Conformational correlatives of DNA band compression and bidirectional migration during field inversion gel electrophoresis, detected by quantitative video epifluoresence microscopy", Biopolymers 31: 1297-1307.	

Examiner Signature	/Stephanie Mummert/ (1	L0/12/2006) Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box ->	+

Substitute for form 14498/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Complete if Known				
			Application Number	10/713,898	
INF			ISCLOSURE	Filing Date	October 18, 2002
	APPLICANT	First Named Inventor	David Charles Schwartz, et al.		
0.7		•	741 1, 2107411	Group Art Unit	
	(use as many s	heel	s as necessary)	Examiner Name	
Sheet	6	of	9	Attomey Docket Number	960296.99047

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner nitials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2	
SKM		Romling et al., 1989, "A physical genome map of Pseudomonas aeruginosa", EMBO J. 8(13): 4081-4089.		
		Smith et al., 1989, "Observation of Individual DNA Molecules Undergoing Gel Electrophoresis", Science 242: 203.		
		Kucherlapati et al., 1988, Genetic Recombination pp. 92-106.		
		Zubay, 1988, Biochemistry (Macmillan Publishing Company, New York) pp. 918-919.		
		Woolf et al., 1988, "Mapping genomic organization by field inversion and two dimensional gel electrophoresis", Nucleic Acids Research 16(9): 3863.		
		Carle et al., Electrophoretic Separations of Large DNA Molecules by Periodic Inversion of the Electrif Field", Science 232: 65-68.		
		Poddar and Maniloff, 1986, "Chromosome analysis by two-dimensional fingerprinting", Gene 49: 93-102.		
		Stellwagen, N.C., 1985, "Orientation of DNA molecules in agarose gels by pulsed electric fields", J. Biomol. Str. and Dyn. 3(2): 299.		
		Yanagida et al., 1983, "Dynamic behaviors of DNA Molecules in solution" Cold Spring Harbor Symp. Quant. Biol. 47: 177.		
		Dev. et al., 1982, "Techniques for chromosome analysis", Techniques in Somatic Cell Genetics, edited by Shay, pp. 493-503.		
\ \ \		Manuelidis et al., 1992, "High-resolution mapping of satellite DNA using biotin-labeled DNA probes", Biol. Abstr. 76(4), Ref. No. 27153, p. 2940.		

Examiner Signature /Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
---	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Please type a	plus sign (+) inside this box 🛶	+	ı
	place origin ( ) micros and a series		ı

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE o a collection of information unless it contains a valid OMB control number.

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Complete if Known			
		Application Number	10/713,898		
			October 18, 2002		
			David Charles Schwartz, et al.		
017	7 1 L-191L-		ALLEGAN	Group Art Unit	
	(use as	many shee	ts as necessary)	Examiner Name	
Sheet	7	of	9	Attorney Docket Number	960296.99047

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1		
SKM		Chattoraj et al., 1978, "DNA Coordination with polyamines", J. Mol. Biol. 121: 327.	
		Ohi et al., 1978, "Mapping of Mitochondria 4S RNA genes in Xenopus laevis by electron microscopy", J. Mol. Biol. 121: 299.	
		Gurrieri et al., 1990, "Imaging of kinked configurations of DNA molecules undergoing orthogonal field alternating gel electrophoresis by fluorescence microscopy", Biochemistry 29: 3396-3401.	
		Bendich and Smith, 1990, "Moving pictures and pulsed-field gel electrophoresis show linear DNA molecules form chloroplasts and mitochondria" Current Genetics 17: 421–425.	
		Smith and Bendich, 1990, "Electrophoretic charge density and persistance length of DNA as measured by fluorescence microscopy", Biopolymers 29(8-9): 1167.	
		Sturm and Weill, 1989, "Direct observation of DNA chain orientation and relaxation by electric birefringence: Implications for the mechanism of separation during pulsed-field gel electrophoresis", Physical Rev. Letters 62(13): 1484.	
		Stellwagen, 1988, "Effect of pulsed and reversing electric fields on the orientation of linear and supercoiled DNA molecules in Agarose Gels", Biochemistry 27: 6417.	
		Schwartz, et al., "Conformational Dynamics of Individual DNA Molecules During Gel Electrophoesis", Nature, Apr. 6, 1989, pp. 520-522.	
		Poddar et al., Chromosome analysis by two-dimensional fingerprinting", Gene, 49 (1986), pp. 93-102.	
		Woolf et al., "Mapping genomic organization by field inversion and two dimensional gel electrophoresis", Nucleic Acid Research, Vol. 16, No. 9 (1988), pp. 3863-3875.	
<b>V</b>		Roemling et al., "A physical genome map of Pseudomonas aeruginosa", The EMBO Journal, Vol. 8, No. 13 (1989), pp. 4081-4089.	

Examiner Signature	' /Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box $\longrightarrow$	$\lceil + \rceil$

Substitute for form 1449B/PTO		Complete if Known			
		Application Number	10/713,898		
INF	INFORMATION DISCLOSURE		Filing Date	October 18, 2002	
STATEMENT BY APPLICANT		First Named Inventor	David Charles Schwartz, et al.		
017	7 1 E-141 E-141 .		ALL EIGAN	Group Art Unit	
	(use as many s	sheets	as necessary)	Examiner Name	
Sheet	8	of	9	Attorney Docket Number	960296.99047

	1	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	Т				
Examiner Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volu publisher, city and/or country where published.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1				
SKM		Yanagida et al., "Dynamic Behaviors of DNA Molecules in Solution", Cold Sprg. Hrbr. Symp. Quant. Biol. 47, pp. 177-187, 1983.					
		Zubay, Biochemistry, 1988, pp. 918-919.					
		Kucherlapati et al., Genetic Recombination, 1988, pp. 92-106.	T				
		Smith et al., "Observation of Individual DNA Molecules Undergoing Gel Electrophoresis", Science 242, Jan. 13, 1989 pp. 203-206.	T				
		Carle et al., "Electrophoretic Separations of Large DNA molecules", Science, Apr. 4, 1986, pp. 65-68.					
		Dev. et al., "Techniques for Chromosome Analysis", Techniques in SOmatic Cell Genetics, edited by Shay, 1982, pp. 493-503.					
		Rampino, "The Physics of Gel Electrophoresis".					
		Stellwagon, "Effect of Pulsed and Reversing Electric Fields" Biochem. 17, 1988, pp. 6417-6424.	Ī				
		Manuelidis et al., Biol. Abstr. 76(4), Ref. No. 27153, P. 2940.	İ				
		Gerlach et al. (1984) Cytometry 5:562-571.					
+		K. R. Khrapko et al., "A Method For DNA Sequencing By Hybridization With Oligonucleotide Matrix", J. DNA Sequencing and Mapping, 1991, vol. 1, pp. 375-388.					

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) Inside this box	· [ + ]

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it contains a valid OMB control number.

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitu	ute for form 1449B/P	то		Complete If Known		
				Application Number	10/713,898	
INFORMATION DISCLOSURE				Filing Date	October 18, 2002	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	David Charles Schwartz, et al.	
017		<b>.</b>	711 1 21071111	Group Art Unit		
	(use as many	shee	s as necessary)	Examiner Name		
Sheet	9	of	9	Attorney Docket Number	960296.99047	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	172				
SKM		R. C.Williams, "Use Of POlylysine For Adsorption Of Nucleic Acids and Enzymes To Electron Microscope Specimen Films", Proc. Natl. Acad. Sci. USA, vol. 74, No. 6, pp. 2311-2315, Jun. 1977.					
SKM		F. Fish et al., "A sensitive Solid Phase Microradioimmunoassay For Anti-Dougle Stranded DNA Antibodies", Arthritis and Rheumatism, vol. 24, No. 3 (Mar. 1981).					
			$\perp$				

Examiner /Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
---	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete it Known					
Application Number	10/713,898				
Filing Date	October 18, 2002				
First Named Inventor	David Charles Schwartz, et al.				
Art Unit	·				
Examiner Name					
Attorney Docket Number	960296.99047				

(Use as many sheets as necessary)

			U. S. PATENT	DOCUMENTS'		
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (F known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Unes, Where Relevant Passages or Relevant Figures Appear	
SKM		<sup>US-</sup> 4,473,452	Sep., 1984	Cantor et al.	204/180	
		<sup>US-</sup> 4,695,548	Sep., 1987	Cantor et al.	435/179	
		<sup>US-</sup> 4,737,251	Apr., 1988	Carle et al.	204/182	
		<sup>US-</sup> 4,767,700	Aug., 1988	Wallace	435/6	
		<sup>US-</sup> 4,870,004	Sep., 1989	Conroy et al.	435/6	
		<sup>US-</sup> 5,059,294	Oct., 1991	Lizardi	204/458	
		<sup>US-</sup> 5,079,169	Jan., 1992	Chu et al.	436/174	
		<sup>US-</sup> 5,314,829	May, 1994	Coles	436/165	
		<sup>US-</sup> 5,380,833	Jan., 1995	Urdea	536/22	
1/		<sup>US-</sup> 5,720,928	Feb., 1998	Schwartz	422/186	
V		<sup>US-</sup> 5,985,549	Nov., 1999	Singer et al.	435/6	
		US-				
		US-				
		US-				
		US-				
		US-				
		US-			·	
		US-				
		US-				

Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>8</sup> (# known)	MM-DD-YYYY		Or Relevant Figures Appear	To
SKM		FR 2605472	Apr., 1988	Alain Bouillet		
\I/		WO 84/02001	May, 1984	Trustees of Columbia Univers		Γ
V		WO 87/01955	Sep., 1987	Washington University		
	<u> </u>					ļ.,
						_
	1		1			

Examiner Signature /Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
---	--------------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspio.gov">www.uspio.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the region of the Emperor must precede the serial number of the patent document. Kind of document with the patent document. The patent document will be appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language

Translation is attached.

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	der the Paperwork Red ite for form 1449/PTO	uction A	ct of 1995, no persons ar	re required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known			
Gaussiale for form 1449/F 10				Application Number	10/713,898		
INF	ORMATION	DIS	CLOSURE	Filing Date	October 18, 2002		
STA	STATEMENT BY APPLICANT			First Named Inventor	David Charles Schwartz, et al.		
	(Use as many she	ote se a	acattan/l	Art Unit			
(030 03 many shoets as necessary)				Examiner Name			
Sheet	2	of	8	Attorney Docket Number	960296.99047		

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SKM		Allison et al., 1992, "Immobilization of DNA for Scanning Probe Microscopy", Proc. Natl. Acad. Sci. USA 89: 10129-10133.	
		Barlow and Lehrach, 1987, "Genetics by Gel Electrophoresis: The Impact of Pulsed Field Gel Electrophoresis on Mammalian Genetics", Trends in Genetics 3: 167-171.	
		Bendich and Smith, 1990, "Moving Pictures and Pulsed-Field Gel Electrophoresis Show Linear DNA Molecules Form Chloroplasts and Mitochondria" Current Genetics 17: 421-425.	
	·	Bensimon, et al., 1994, "Alignment and Sensitive Detection of DNA by a Moving Interface" Science 265: 2096-2098.	
		Burke et al., 1987, "Cloning of Large Segments of Exogenous DNA into Yeast by Means of Artificial Chromosome Vectors", Science 236: 806-812.	
		Bustamante et al., 1992, "Circular DNA Molecules Imaged in Air by Scanning Force Microscopy", Biochemistry 31: 22-26.	
		Campbell et al., 1991, "Generation of a Nested Series of Interstitial Deletions in Yeast Artificial Chromosomes Carrying Human DNA", Proc. Natl. Acad. Sci. USA 88: 5744-5748.	
	•	Carle et al., 1986, "Electrophoretic Separations of Large DNA Molecules by Periodic Inversion of the Electric Field", Science 232: 65-68.	
		Cavalli-Sforza, 1990, "Opinion: How Can One Study Individual Variation for 3 Billion Nucleotides of the Human Genome", Am. J. Hum. Genet. 46: 649-651.	
V	,	Chattoraj et al., 1978, "DNA Coordination with Polyamines", J. Mol. Biol. 121: 327-337.	

Examiner Signature	/Stephanie Mummert/	(10/12/2006)	Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Please type a plus sign (+) inside this box ->	+	ı
--	---	---

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it contains a valid OMB control number.

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Juder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid QMB control number.

Substitute for form 14498/PTO				Complete if Known		
				Application Number	10/713,898	
INF	ORMAT	ION D	ISCLOSURE	Filing Date	October 18, 2002	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	David Charles Schwartz, et al.	
STATEMENT DI ALL EIGANT				Group Art Unit		
	(use es m	any sheet	s as necessary)	Examiner Name		
Sheet	3	of	8	Attorney Docket Number	960296.99047	

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
xaminer nitials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	72
SKM		Chumakov et al., 1992, "Continuum of Overlapping Clones Spanning the Entire Human Chromosome 21q", Nature 359: 380-387.	
		Church and Gilbert, 1984, "Genomic Sequencing", Proc. Natl. Acad. Sci. USA 81: 1991-1995.	
		Cohen et al., 1993, "A First-Generation Physical Map of the Human Genome", Nature 366: 698-701.	
		Dev et al., 1982, "Techniques for Chromosome Analysis", Techniques in Somatic Cell Genetics, edited by Shay, pp. 493-503.	
		Ferrin and Camerini-Otero, 1991, "Selective Cleavage of Human DNA: RecA-Assisted Restriction Endonuclease (RARE) Cleavage", Science 254: 1494-1497.	
		Fish and Ziff, 1981, "A Sensitive Solid Phase MicroradioImmunoassay for Anti-Double Stranded DNA Antibodies", Arthritis and Rheumatism 24: 534-543.	
		Gerlach et al., 1984, "Application of a High-Resolution TV-Microscope System to Estimate the Sequence of Centromere Separation in Muntjak Chromosomes", Cytometery 5: 562-571.	
		Glazer et al., 1990, "A Stable Double-Stranded DNA-Ethidium Homodimer Complex: Application to Picogram Fluorescence Detection of DNA in Agarose Gels", Proc. Natl. Acad. Sci. USA 87: 3851-3855.	
	*	Gosule and Schellman, 1978, "DNA Condensation with Polyamines I. Spectroscopic Studies", J. Mol. Biol. 121: 311-326.	
$\downarrow$		Guo et al., 1993, "Sizing of Large DNA Molecules by Hook Formation in a Loose Matrix", J. Biomol. Struct. and Dynam. 11: 1-10.	1

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Substitut	ite for form 144	B/PTO		Complete if Known		
				Application Number	10/713,898	
INFO	ORMAT	ION C	ISCLOSURE	Filing Date	October 18, 2002	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	David Charles Schwartz, et al.	
OTATEMENT BY ALL FIGAR				Group Art Unit	•	
	(use as m	nany sheel	s as necessary)	Examiner Name		
Sheet	4	of	8	Attorney Docket Number	960296.99047	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), votume-issue number(s), publisher, city and/or country where published.	T2
SKM		Guo et al., 1992, "Sizing Single DNA Molecules", Nature 359: 783-784.	
		Gurrieri et al., 1990, "Imaging of Kinked Configurations of DNA Molecules Undergoing Orthogonal Field Alternating Gel Electrophoresis by Fluorescence Microscopy", Biochemistry 29: 3396-3401.	
		Hansma et al., 1993, "Atomic Force Microscopy of DNA in Aqueous Solutions", Nucl. Acid Res. 21: 505-512.	
		Heng et al., 1992, "High-Resolution Mapping of Mammalian Genes by in situ Hybridization to Free Chromatin", Proc. Natl. Acad. Sci. USA 89: 9509-9513.	
		Karrasch, et al., 1993, "Covalent Binding of Biological Samples to Solid Supports for Scanning Probe Microscopy in Buffer Solution", Biophysical J. 65: 2437-2446.	
		Koob et al., 1992, "RecA-AC: Single-Site Cleavage of Plasmids and Chromosomes at Any Predetermined Restriction Site", Nucl. Acids Res. 20: 5831-5836.	
		Koob and Szybalski, 1990, "Cleaving Yeast and Escherichia coli Genomes at a Single Site", Science 250: 271-273.	
		Khrapko et al., 1991, "A Method for DNA Sequencing by Hybridization with Oligonucleotide Matrix", J. DNA Sequencing and Mapping, 1: 375-388.	
		Kucherlapati et al., 1988, Genetic Recombination p. 92-106.	
		Lawrence et al., Mapping In Situ: Presence and Orientation of Two Closely Integrated Copies of EBV in a Lymphoma Line", Cell 52: 51-61.	
$\bigvee$		Lichter et al., 1990, "High-Resolution Mapping of Human Chromosome 11 by in Situ Hybridization with Cosmid Clones", Science 247: 64-69.	

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006
-----------------------	----------------------------------	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Please type	a plus sign (+)	inside this b	•ox →	+

Substitu	ute for form 144	98/PTO		Complete if Known			
INFORMATION DISCLOSURE				Application Number	10/713,898		
				Filing Date	October 18, 2002		
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	David Charles Schwartz, et al.		
0.7			/	Group Art Unit			
	(use as r	nany sheet	s as necessary)	Examiner Name			
Sheet	5	of	8	Attorney Docket Number	960296.99047		

	T	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	т		
Examiner nitials*	Cite No. <sup>1</sup>	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s).			
SKM		Link and Olson, 1991, "Physical Map of the Saccharomyces cerevisiae Genome at 110-Kilobase Resolution", Genetics 127: 681-698.			
	÷.	Lodish et al., 1995, Molecular Cell Biology, W.H. Freeman, NY, p. 345.	Ī		
		Luckham and Klein, 1984, "Forces Between Mica Surfaces Bearing Adsorbed Polyelectrolyte, Poly-L-lysine, in Aqueous Medi", J. Chem. Soc. Faraday Trans. 1, 80: 865-878.	†		
		Lyubchenko et al., 1992, "Atomic Force Microscopy Imaging of Double Stranded DNA and RNA", J. Biomol. Struct. and Dynam. 10: 589-606.	Ī		
		Maier et al., 1992, "Complete Coverage of the Schizosaccharomyces pombe Genome in Yeast Artificial Chromosomes", Nat. Genet. 1: 273-277.			
		Manuelidis et al., 1982, "High-Resolution Mapping of Satellite DNA Using Biotin-Labeled DNA Probes", J. Cell. Biol. 95: 619-625.			
		Massa, 1973, "Flow Properties of High-Molecular-Weight DNA Solutions: Viscosity, Recoil, and Longest Retardation Time", Biopolymers 12: 1071-1081.	1		
		Matsumoto et al., 1981, "Light Microscopic Structure of DNA in Solution Studied by the 4',6-Diamldino-2-phenylindole Stalning Method", J. Mol. Biol. 152: 501-516.	T		
		Murray and Szostak, 1983, "Construction of Artificial Chromosomes in Yeast", Nature 305: 189-193.	T		
	,	Ohi et al., 1978, "Mapping of Mitochondria 4S RNA Genes in Xenopus laevis by Electron Microscopy", J. Mol. Biol. 121: 299-310.			
$\overline{\mathbf{V}}$		Perkins et al., 1994, "Direct Observation of Tube-like Motion of a Single Polymer Chain", Science 264: 819-822.	+		

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Please type a plus sign (+) inside this box ->	+	

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
D a collection of information unless it contains a valid OMB control number

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO		Complete if Known				
				Application Number	10/713,898	
INF	OF	MATION	1 C	ISCLOSURE	Filing Date	October 18, 2002
STA	T	EMENT	<b>3</b> Y	APPLICANT	First Named Inventor	David Charles Schwartz, et al.
017	•••			7.1 1 LIO7.111	Group Art Unit	
		(use as many s	heel	's as necessary)	Examiner Name	
Sheet	6		of	8	Attomey Docket Number	960296.99047

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T?
SKM		Poddar and Maniloff, 1986, "Chromosome Analysis by Two-Dimensional Fingerprinting", Gene 49: 93-102.	
		Porath and Axen, 1976, "Immobilization of Enzymes to Agar, Agarose, And Sephadex Supports", Meth. Enzymol. 44: 19-45.	
		Rampino and Chrambach, 1991, "Conformational Correlatives of DNA Band Compression and Bidirectional Migration During Field Inversion Gel Electrophoresis, Detected by Quantitative Video Epifluorescence Microscopy", Biopolymers 31: 1297-1307.	
		Romling et al., 1989, "a Physical Genome Map of Pseudomonas aeruginosa", EMBO J. 8: 4081-4089.	
		Schwartz et al., 1989, "Conformational Dynamics of Individual DNA Molecules During Gel Electrophoresis", Nature 338: 520-522.	
		Schwartz et al., 1989, "ED: Pulsed Electrophoresis Instrument", Nature 342: 575-576.	
		Schwartz et al., 1984, "Separation of Yeast Chromosome-Sized DNAs by Pulsed field Gradient Gel Electrophoresis", Cell 37: 67-75.	
		Smith et al., 1992, "Direct Mechanical Measurements of the Elasticity of Single DNA Molecules by Using Magnetic Beads", Science 258: 1122-1126.	
		Smith and Bendich, 1990, "Electrophoretic Charge Density and Persistence Length of DNA as Measured by Fluorescence Microscopy", Biopolymers 29: 1167-1173.	
		Smith et al., 1989, "Observation of Individual DNA Molecules Undergoing Gel Electophoresis", Science 242: 203-206.	
$\bigvee$		Smith and Birnstiel, 1976, "A Simple Method for DNA Restriction Site Mapping", Nucl. Acids Res. 3: 2387-2399.	

Examiner Signature	/Stephanie Mummert/	(10/12/2006)	Date Considered	10/12/2006
-----------------------	---------------------	--------------	--------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

Please	type a	plus	sign	(+)	inside	this	box	→	+
--------	--------	------	------	-----	--------	------	-----	---	---

Substitute for form 1449B/PTO				Complete if Known			
				Application Number	10/713,898		
INF	ORMATIC	ם אכ	ISCLOSURE	Filing Date	October 18, 2002		
STA	STATEMENT BY APPLICANT		First Named Inventor	David Charles Schwartz, et al.			
STATEMENT DI ALI LICANI		Group Art Unit					
	(use as mar	ny sheet	s as necessary)	Examiner Name			
Sheet	7	of	8	Attorney Docket Number	960296.99047		

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(e), volume-issue number(s), publisher, city and/or country where published.  Southern, 1975, "Detection of Specific Sequences among DNA Fragments Separated by Gel Electrophoresis", J. Mol. Biol. 98: 503-517.  Stallings et al., 1990, "Physical Mapping of Human Chromosomes by Repetitive Sequence Fingerprinting", Proc. Natl. Acas. Sci. USA 87: 6218-6222.  Stellwagen, 1988, "Effect of Pulsed and Reversing Electric Fields on the Orientation of Linear and Supercoiled DNA Molecules in Agarose Gels", Biochemistry 27: 6417-6424.  Stellwagen, N.C., 1985, "Orientation of DNA Molecules in Agarose Gels by Pulsed Electric Fields", J. Biomol. Str. and Dynam. 3: 299-314.  Sturm and Weill, 1989, "Direct Observation of DNA Chain Orientation and Relaxation by Electric Birefringence: Implications for the Mechanism of Separation During Pulsed-Field Gel Electrophoresis", Physical Rev. Letters 62: 1484-1487.  van den Engh et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410-1412.  Williams, 1977, "Use of Polylisine for Adsorbtion of Nucleic Acids and Enzymes to Electron
Electrophoresis", J. Mol. Biol. 98: 503-517.  Stallings et al., 1990, "Physical Mapping of Human Chromosomes by Repetitive Sequence Fingerprinting", Proc. Natl. Acas. Sci. USA 87: 6218-6222.  Stellwagen, 1988, "Effect of Pulsed and Reversing Electric Fields on the Orientation of Linear and Supercoiled DNA Molecules in Agarose Gels", Biochemistry 27: 6417-6424.  Stellwagen, N.C., 1985, "Orientation of DNA Molecules in Agarose Gels by Pulsed Electric Fields", J. Biomol. Str. and Dynam. 3: 299-314.  Sturm and Weill, 1989, "Direct Observation of DNA Chain Orientation and Relaxation by Electric Birefringence: Implications for the Mechanism of Separation During Pulsed-Field Gel Electrophoresis", Physical Rev. Letters 62: 1484-1487.  van den Engh et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410-1412.
Fingerprinting", Proc. Natl. Acas. Sci. USA 87: 6218-6222.  Stellwagen, 1988, "Effect of Pulsed and Reversing Electric Fields on the Orientation of Linear and Supercoiled DNA Molecules in Agarose Gels", Biochemistry 27: 6417-6424.  Stellwagen, N.C., 1985, "Orientation of DNA Molecules in Agarose Gels by Pulsed Electric Fields", J. Biomol. Str. and Dynam. 3: 299-314.  Sturm and Weill, 1989, "Direct Observation of DNA Chain Orientation and Relaxation by Electric Birefringence: Implications for the Mechanism of Separation During Pulsed-Field Gel Electrophoresis", Physical Rev. Letters 62: 1484-1487.  van den Engh et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410-1412.
Supercoiled DNA Molecules in Agarose Gels", Biochemistry 27: 6417-6424.  Stellwagen, N.C., 1985, "Orientation of DNA Molecules in Agarose Gels by Pulsed Electric Fields", J. Biomol. Str. and Dynam. 3: 299-314.  Sturm and Weill, 1989, "Direct Observation of DNA Chain Orientation and Relaxation by Electric Birefringence: Implications for the Mechanism of Separation During Pulsed-Field Gel Electrophoresis", Physical Rev. Letters 62: 1484-1487.  van den Engh et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410-1412.
Fields", J. Biomol. Str. and Dynam. 3: 299-314.  Sturm and Weill, 1989, "Direct Observation of DNA Chain Orientation and Relaxation by Electric Birefringence: Implications for the Mechanism of Separation During Pulsed-Field Gel Electrophoresis", Physical Rev. Letters 62: 1484-1487.  van den Engh et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410-1412.
Birefringence: Implications for the Mechanism of Separation During Pulsed-Field Gel Electrophoresis", Physical Rev. Letters 62: 1484-1487.  van den Engh et al., 1992, "Estimating Genomic Distance from DNA Sequence Location in Cell Nuclei by a Random Walk Model", Science 257: 1410-1412.
Nuclei by a Random Walk Model*, Science 257: 1410-1412.
Williams 4077 The of Debitions for Adorphica of Nucleic Acids and Environs to Electron
Microscope Specimen Films*, Proc. Natl. Acad. Sci. USA 74: 2311-2315.
Woolf et al., 1988, "Mapping Genomic Organization by Field Inversion and Two Dimensional Gel Electrophoresis", Nucl. Acids Res. 16: 3863-3875.
Yanagida et al., 1983, "Dynamic Behaviors of DNA Molecules in Solution" Cold Spring Harbor Symp. Quant. Biol. 47: 177-187.
Zenhausern et al., 1992, "Imaging of DNA by Scanning Force Microscopy", J. Struct. Biol. 108: 69-73.
Zubay, 1988, Biochemistry (Macmillan Publishing Company, New York) pp. 918-919.

Examiner Signature	/Stephanie Mummert/	(10/12/2006)	Date Considered	10/12/2006

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Please	type a	plus	sign	(+)	inside this	ьох	<b>→</b>	$\Box$	l
	.,,			٠.			-	T	ı

PTO/SB/08B (08-00)
Approved for use through 10/31/2002. CMB 0651-0031
U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a valid OMB control number.

U. S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substit	ute for form 14498/PT	0		Co	emplete if Known
				Application Number	10/713,898
INF	ORMATIOI	NE	ISCLOSURE	Filing Date	October 18, 2002
STA	TEMENT	BY	APPLICANT	First Named Inventor	David Charles Schwartz, et al.
•				Group Art Unit	
	(use as many s	sheet	s as necessary)	Examiner Name	
Sheet	8	of	8	Attorney Docket Number	960296.99047

1 100 10		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
SKM		Houseal et al., 1989, "Real-Time Imaging of Single DNA Molecules with Fluorescence Microscopy", Biophys. J. 56: 507-516.	
· · · · · · · · · · · · · · · · · · ·			
		-	
			$\bot$

Examiner Signature /Stephanie Mummert/ (10/12/2006)	Date . Considered	10/12/2006
---	----------------------	------------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. 2 Applicant is to place a check mark here if English language Translation is attached.

A PRIDENT

Sheet 1

Approved for use through 07/31/2005. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE or the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

·Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/713,898			
Filing Date	10/18/2002			
First Named Inventor	David C. Schwartz			
Art Unit	1732			
Examiner Name				
Attorney Docket Number	960296.99047			

Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (# known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
SKM		<sup>US-</sup> 6,766,817	07/27/2004	Silva	
		US-			1
		US-			
	<b></b>	US-			
		US-	<del></del>		
		US-			
		US-		****	
		US-			
		us-			
		us-			
		US-	<del></del>		
		US-	1		<u> </u>
		US-	<b>+</b>		
<del></del>		US-		<u> </u>	

		FOREI	<b>GN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°.
					V 22	_
				•		

Examiner Signature	/Stephanie Mummert/ (10/12/2006)	Date Considered	10/12/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspio.gov">www.uspio.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.